

<p>Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)</p>	<p>ATTY. DKT. NO. 5226-02700</p> <p>APPLICANT: John Bunda</p> <p>FILING DATE: October 26, 2001</p> <p>O 1 P T F C S O U P: 2164</p> <p>SERIAL NO. 10/014,948</p> 
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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

BKS	E1	International Search Report, PCT/US02/18063, mailed May 8, 2003
	E2	International Search Report, PCT/US02/18224, mailed May 15, 2003
	E3	International Search Report, PCT/US02/18978, mailed May 21, 2003
	E4	International Search Report, PCT/US02/26471, mailed December 11, 2003
↓	E5	International Search Report, PCT/US02/31239, mailed April 3, 2003
BKS	E6	International Search Report, PCT/US02/34357, mailed January 7, 2003

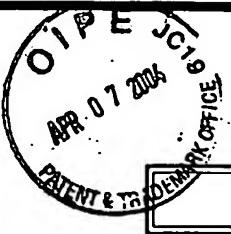
EXAMINER:

/Bijendra K Shrestha/

DATE CONSIDERED:

10/31/2006

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	METHOD AND SYSTEM FOR MANAGING DISPLAY OF QUOTES FOR SECURITIES FROM MULTIPLE SOURCES
Application Number:	10/014948
Confirmation Number:	3658
First Named Applicant:	John Bunda
Attorney Docket Number:	5226-02700
Art Unit:	2164
Examiner:	Unknown Unknown
Search string:	(5809483 or 5946666 or 6401105 or 6408282 or 6510419 or 20020188548 or 20020178099 or 20020194111 or 20020194110 or 20030065598 or 20030041006).pn.

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
BKS	1	5809483	1998-09-15	Broka et al.			
BKS	2	5946666	1999-08-31	Nevo et al.			
BKS	3	6401105	2002-06-04	Carlin et al.			
BKS	4	6408282	2002-06-18	Buist			
BKS	5	6510419	2003-01-21	Gatto			

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
BKS	1	20020188548	2002-09-15	Bunda	A1		
BKS	2	20020178099	2002-11-28	Young	A1		
BKS	3	20020194111	2002-12-19	Young	A1		
BKS	4	20020194110	2002-12-19	Young	A1		
BKS	5	20030065598	2003-04-03	Bunda	A1		

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2003-02-27

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Signature

Examiner Name	Date
/Bijendra K Shrestha/	10/31/2006



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<h3>US Patent Documents</h3> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>Init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>BKS</td><td>1</td><td>5193056</td><td>1993-03-09</td><td>Boes</td><td></td><td></td><td></td></tr><tr><td>BKS</td><td>2</td><td>4752877</td><td>1988-06-21</td><td>Roberts et al.</td><td></td><td></td><td></td></tr><tr><td>BKS</td><td>3</td><td>6415267</td><td>2002-07-02</td><td>Hagan</td><td></td><td></td><td></td></tr><tr><td>BKS</td><td>4</td><td>6029146</td><td>2000-02-22</td><td>Hawkins et al.</td><td></td><td></td><td></td></tr><tr><td>BKS</td><td>5</td><td>5297031</td><td>1994-03-22</td><td>Gutterman et al.</td><td></td><td></td><td></td></tr><tr><td>BKS</td><td>6</td><td>6035287</td><td>2000-03-07</td><td>Stallaert et al.</td><td></td><td></td><td></td></tr></tbody></table>							Init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	BKS	1	5193056	1993-03-09	Boes				BKS	2	4752877	1988-06-21	Roberts et al.				BKS	3	6415267	2002-07-02	Hagan				BKS	4	6029146	2000-02-22	Hawkins et al.				BKS	5	5297031	1994-03-22	Gutterman et al.				BKS	6	6035287	2000-03-07	Stallaert et al.			
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Search string:	(6477580 or 6421653 or 6446871 or 6247000 or 6272474 or 6173270 or 6321212 or 4566066 or 6505174 or 6195647 or 5101353 or 4942616 or 5915209 or 5999918 or 6112189).pn.									
US Patent Documents										
Note: Applicant is not required to submit a paper copy of cited US Patent Documents										
init	Cite.No.	Patent No.	Date	Patentee	Kind					
BKS	1	6477580	2002-11-05	Bowman-Amuah						
BKS	2	6421653	2002-07-16	May						
BKS	3	6446871	2002-09-10	Buckley et al.						
BKS	4	6247000	2001-06-12	Hawkin et al.						
BKS	5	6272474	2001-08-07	Garcia						
BKS	6	6173270	2001-01-09	Cristofich et al.						
BKS	7	6321212	2001-11-20	Lange						
BKS	8	4566066	1986-01-21	Towers						
BKS	9	6505174	2003-01-07	Keiser et al.						
BKS	10	6195647	2001-02-27	Martyn et al.						
BKS	11	5101353	1992-03-31	Lupien et al.						
BKS	12	4942616	1990-07-17	Linstroth et al.						
BKS	13	5915209	1999-06-22	Lawrence						
BKS	14	5999918	1999-12-07	Williams et al.						
BKS	15	6112189	2000-08-29	Rickard et al.						
init	Cite.No.	Patent No.	Date	Patentee	Class					
init	Cite.No.	Patent No.	Date	Patentee	Subclass					

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/Bijendra K Shrestha/	10/31/2006

Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)	ATTY. DOCKET NO: 5226-02700			SERIAL NO: 10/014,948			
	APPLICANT: John Bunda						
	FILING DATE: October 26, 2001			GROUP: 2164			
U.S. PATENT DOCUMENTS							
EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
BKS	D1	6,317,728 B1	11/13/01	Kane			
BKS	D2	5,819,238	10/06/98	Fernholz			
BKS	D3	5,640,505	06/17/97	Hearn et al.			
FOREIGN PATENT DOCUMENTS							
EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
BKS	D4	International Search Report, PCT/US02/16267, mailed August 20, 2002.					
EXAMINER: /Bijendra K Shrestha/			DATE CONSIDERED: 10/31/2006				
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			O I P E APR 09 2002 PATENT & TRADEMARK OFFICE 8950				
			APPLICANT: John Bunda FILING DATE: October 26, 2001 GROUP: 2164				
U.S. PATENT DOCUMENTS							
EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
BKS	B1	4,674,044	6/1987	Kalmus, et al.			
	B2	5,347,452	9/1994	Bay, Jr.			<i>RECEIVED</i>
	B3	5,787,402	7/1998	Potter, et al.			<i>APR 10 2002</i>
	B4	5,950,176	9/1999	Keiser, et al.			<i>Technology Center 2100</i>
	B5	6,014,643	1/2000	Minton			
	B6	6,016,483	1/2000	Rickard, et al.			
	C1	6,260,025	7/2001	Silverman, et al.			
↓	C2	6,006,206	12/1999	Smith, et al.			
BKS	C3	5,987,432	11/1999	Zusman, et al.			
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EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION. YES/NO
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
BKS	B7	Press Release, "3DStockCharts.com Teams Up With ECN Industry Leader," October 1999, pp. 1-2.					
	B8	"Real Tick III Tutorial," © 1999 The Momentum Trader and Swingtrader.net, 6 pgs.					
↓	B9	"Using the Order Entry Screen in Real Tick III," January 1999, pp. 1-2.					
↓ BKS	AA	Stand & Poor's ComStock, "Xpresso," 5 pages, © 1998 Standard & Poor's ComStock					
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BKS	C4	5,966,531	10/1999	Skeen, et al.			
	C5	5,924,083	7/1999	Silverman, et al.			
	C6	5,924,082	7/1999	Silverman, et al.			
	C7	5,727,165	3/1998	Ordish, et al.	RECEIVED		
	C8	5,195,031	3/1993	Ordish	APR 10 2002		
	C9	5,136,501	8/1992	Silverman, et al.	Technology Center 2100		
	C10	5,077,665	12/1991	Silverman, et al.			
▼	C11	5,034,916	7/1991	Ordish			
BKS	C12	5,003,473	3/1991	Richards			
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BKS	C13	"gr8trade from Protrader User's Manual," Protrader Securities Corporation, pp.1-69.; 3/2000					
BKS	C14	Abell, et al.; "The Sixth Market: The Electronic Investor Revolution;" 12/2000					
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U.S. PATENT DOCUMENTS				
EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME
BKS	C15	4,750,135	6/1988	Boilen
BKS	C16	4,745,559	5/1988	Willis, et.al.
BKS	C17	6,278,982	8/2001	Korhammer, et al.
<i>RECEIVED</i> <i>APR 10 2002</i> <i>Technology Center 2100</i>				
FOREIGN PATENT DOCUMENTS				
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